

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: To Be Assigned  
Applicants: Martin Graham Partridge et al.  
Filed: Herewith  
Title: POLYMERISATION REACTION AND CATALYST THEREFOR  
TC/A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed "Foreign Patent Documents" and "Non-Patent Literature Documents" is also enclosed. A copy of one U.S. patent document, namely, U.S. Patent No. 4,289,873 is also enclosed, as explained below.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

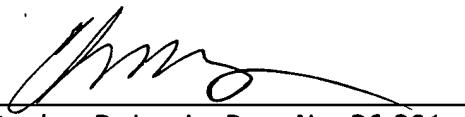
In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

German Patent No. 29 47 978 is not in the English language, but an English-language equivalent of this patent, namely, U.S. Patent No. 4,289,873, is enclosed.

Japanese Patent No. 4-257545 is not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed concurrently with the above-referenced U.S. national phase application.

Respectfully submitted,



Christopher R. Lewis, Reg. No. 36,201  
Attorney for Applicants

CRL/Irb  
Enclosures: PTO Form 1449  
Copy of twelve (12) references

Dated: June 10, 2005

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Kathleen Libby

Substitute for Form 1449A/PTO		<i>Complete if Known</i>	
		107538341	
		Application Number	Assigned
		Filing Date	Herewith
		First Named Inventor	Martin Graham Partridge
		Art Unit	
		Examiner Name	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Attorney Docket No.	JMYS-130US
SHEET 1 of 2			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	2	DE-29 47 978-A1	06-12-1980	Daicel Chemical Industries, Ltd.		<input type="checkbox"/>
	3	EP-0 943 641-A1	09-22-1999	Daicel Chemical Industries, Ltd.		<input type="checkbox"/>
	4	EP-0 710 685-B1	01-21-2004	Nippon Shokubai Co., Ltd.		<input type="checkbox"/>
	5	JP-4-257545	09-11-1992	Mitsubishi Rayon Co. Ltd.		<input type="checkbox"/>
	6	WO-03/018662-A1	03-06-2003	Johnson Matthey PLC		<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**<sup>1</sup>Applicant's unique citation designation number (optional).**

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

**\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.**

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

**<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.**

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

Substitute for Form 1449B/PTO		Complete if Known	
		Application Number	To Be Assigned
		Filing Date	Herewith
		First Named Inventor	Martin Graham Partridge
		Art Unit	
		Examiner Name	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		SHEET 2 of 2	Attorney Docket No. JMYS-130US

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	7	Brendan J. O'Keefe, Marc A. Hillmyer and William B. Tolman, "Polymerization of lactide and related cyclic esters by discrete metal complexes," <i>J. Chem. Soc., Dalton Trans.</i> , 2001, pp. 2215-2224.	<input type="checkbox"/>
	8	Youngjo Kim and John G. Verkade, "Novel Titanatranes with Different Ring Sizes: Syntheses, Structures, and Lactide Polymerization Catalytic Capabilities," <i>Organometallics</i> , Vol. 21, No. 12, 2002, pp. 2395-2399.	<input type="checkbox"/>
	9	Björn Antelmann, Malcolm H. Chisholm, Suri S. Iyer, John C. Huffman, Diana Navarro-Llobet, and Marty Pagel, "Molecular Design of Single Site Catalyst Precursors for the Ring-Opening Polymerization of Cyclic Ethers and Esters. 2. Can Ring-Opening Polymerization of Propylene Oxide Occur by a Cis-Migratory Mechanism?" <i>Macromolecules</i> , Vol. 34, No. 10, 2001, pp. 3159-3175.	<input type="checkbox"/>
	10	Daisuke Takeuchi, Takeshi Nakamura, and Takuzo Aida, "Bulky Titanium Bis(phenolate) Complexes as Novel Initiators for Living Anionic Polymerization of $\epsilon$ -Caprolactone," <i>Macromolecules</i> , Vol. 33, No. 3, 2000, pp. 725-729.	<input type="checkbox"/>
	11	Huei-Ling Chen, Bao-tsan Ko, Bor-Hunn Huang, and Chu-chieh Lin, "Reactions of 2,2'-Methylenebis(4-chloro-6-isopropyl-3-methylphenol) with Trimethylaluminum: Highly Efficient Catalysts for the Ring-Opening Polymerization of Lactones," <i>Organometallics</i> , Vol. 20, No. 24, 2001, pp. 5076-5083.	<input type="checkbox"/>
	12	Malcolm H. Chisholm, Nancy W. Eilerts, John C. Huffman, Suri S. Iyer, Martha Pacold, and Khamphhee Phomphrai, "Molecular Design of Single-site Metal Alkoxide Catalyst Precursors for Ring-Opening Polymerization Reactions Leading to Polyoxygenates. 1. Polylactide Formation by Achiral and Chiral Magnesium and Zinc Alkoxides, ( $\eta^3$ -L) MOR, Where L = Trispyrazolyl- and Trisindazolylborate Ligands," <i>J. Am. Chem. Soc.</i> , Vol. 122, No. 48, 2000, pp. 11845-11854.	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional).

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